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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/815,447	03/21/2001	2171	0.00	02737.0004.NPUS01	118	85	76

CONFIRMATION NO. 7652

27240  
HOWREY SIMON ARNOLD & WHILE LLP - SD  
301 RAVENSWOOD AVENUE  
MENLO PARK, CA 94025

## FILING RECEIPT



\*OC00000006162203\*

Date Mailed: 06/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- ✓ Reagan W. Moore, Residence Not Provided; San Diego, CA
- ✓ Arcot Rajasekar, Residence Not Provided; Del Mar, CA
- Chaitanya K. Baru, Residence Not Provided; San Diego, CA
- Bertram Ludaescher, Residence Not Provided; San Diego, CA
- Amarnath Gupta, Residence Not Provided; San Diego, CA
- ✓ Richard J. Marciano, Residence Not Provided; San Diego, CA

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/191,662 03/23/2000  
AND CLAIMS BENEFIT OF 60/255,795 12/15/2000  
AND CLAIMS BENEFIT OF 60/255,794 12/15/2000  
AND CLAIMS BENEFIT OF 60/273,464 03/05/2001

## Foreign Applications

If Required, Foreign Filing License Granted 06/07/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

DOCKETED *Dul*



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Reagan Moore, et al.

Application No.: 09/815,447

Filed: March 21, 2001

For: **PERSISTENT ARCHIVES**

Art Unit: 2171

Examiner: Unassigned

**TRANSMITTAL OF MISSING PARTS**

**ATTN: Box Missing Parts**

Commissioner for Patents

Washington, D.C. 20231

Sir:

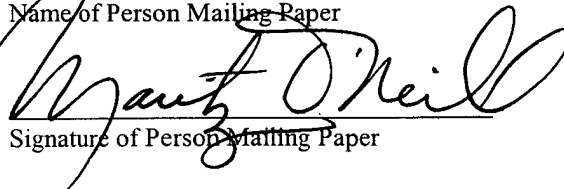
In response to the **Notice to File Missing Parts of Non-Provisional Application** mailed June 8, 2001, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☐ Petition for Extension of Time under 37 C.F.R. § 1.136;
- ☒ Copy of the Notice to File Missing Parts;
- ☒ Original Combined Declaration and Power of Attorney executed by the inventors;
- ☐ Assignment of the invention to \_\_\_\_\_ (together with PTO Form 1595);
- ☒ Copy of Filing Receipt with corrections to be made on Corrected Filing Receipt; and

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

July 13, 2001  
Date of Deposit

Maritza O'Neill  
Name of Person Mailing Paper  
  
Signature of Person Mailing Paper

☒ Return postcard.

# **CALCULATION OF FEES:**

Filing Fee for Patent Application (37 C.F.R. § 1.16)	\$ 710.00
Fee for excess claims over 20 (37 C.F.R. § 1.16)	1710.00
Fee for excess Independent Claims	320.00
Surcharge for Multiple Dependent Claims	270.00
Surcharge for late filing of Declaration (37 C.F.R. § 1.16)	130.00
Assignment Recordation Fee	0.00
Extension of time fees under 37 C.F.R. § 1.13	0.00
<b>TOTAL FEES SUBMITTED HEREWITH</b>	<b>\$3140.00</b>

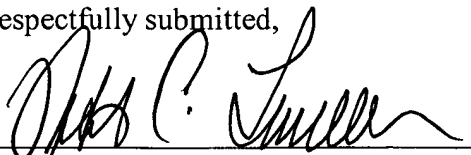
The U.S. Patent and Trademark Office is hereby authorized to charge \$3,140.00 to our Deposit Account No. **08-3038**, referencing Docket No. 02737.0004.NPUS01. A duplicate copy of this transmittal is enclosed.

**The Notice to File Missing Parts states that \$320.00 is owed based on the assumption there are a total of 7 independent claims. However, Applicant believes that there are only 6 independent claims (1, 16, 42, 55, 83 and 85) and not 7 as reflected on the Notice to File Missing Parts. Applicants have enclosed payment for 7 independent claims and respectfully request that the claims be re-counted and, if it is determined that a discrepancy exists between the total number of claims and/or independent claims, that any overpayment be credited to our Deposit Account No. 08-3038, referencing the above docket number.**

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. **08-3038**.

If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. **08-3038**.

Respectfully submitted,



Robert C. Laurenson, Reg. No. 34,206

Date: July 13, 2001

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 301 Ravenswood Avenue, Box No. 34  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,447	03/21/2001	Reagan W. Moore	02737.0004.NPUS01

CONFIRMATION NO. 7652

27240  
HOWREY SIMON ARNOLD & WHILE LLP - SD  
301 RAVENSWOOD AVENUE  
MENLO PARK, CA 94025

FORMALITIES LETTER



\*OC000000006162204\*

09/14/2001 TV0111 00000005 083038 09815447

01 FC:102 240.00 CH

Date Mailed: 06/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/18/2001 EEKUBAY1 00000001 083038 09815447

FILED UNDER 37 CFR 1.53(b)

01 FC:101 710.00 CH  
~~02 FC:102 320.00 CH~~  
03 FC:103 1710.00 CH  
04 FC:104 270.00 CH  
05 FC:105 130.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2300.
  - \$1710 for 95 total claims over 20.
  - \$320 for 4 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3140.

Adjustment date: 09/14/2001 TV0111  
07/18/2001 EEKUBAY1 00000001 083038 09815447  
~~02 FC:102 320.00 CH~~

*A copy of this notice **MUST** be returned with the reply.*

*SP*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

**Docket Department**

File 02737.004NPUS01

Action RESP. TO M.P.

Due Date 8/8/01

W/Ext's 1/8/02

Initials RM

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